

UNITED STATES PATENT APPLICATION TRANSMITTAL FORM



Docket No.: 823.0145USU

MAIL STOP PATENT APPLICATION COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

| Dear Sir: | | | |
|--------------|--|--|--|
| Deal Sil. | | | |
| Transmitted | herewith for filing is the patent application of | | |
| Inventor: | Benjamin Jung Kim | | |
| For: | A LINEAR INDEPENDENCE METHOD FOR NONINVASIVE ON-LINE SYSTEM IDENTIFICATION/SECONDARY PATH MODELING FOR FILTERED-X LMS-BASED ACTIVE NOISE CONTROL SYSTEMS | | |
| Customer N | o.: 27623 | | |
| Enclosed are | e: | | |
| XXX | Specification (45 pps.) consisting of: Description (35 pps); Claims (5 pps); Abstract (1 pp); | | |
| <u>xxx</u> | sheets of drawing; | | |
| XXX | Declaration and Power of Attorney; | | |
| XXX | An assignment of the invention to: <u>THE PENN STATE RESEARCH</u> <u>FOUNDATION</u> , including \$40.00 recordation fee and Assignment Recordation Form Cover Sheet; | | |
| <u>xxx</u> | The undersigned attorney has verified that the application is entitled to a Small Entity Status; | | |
| XXX | Information Disclosure Statement (with copies of patent); | | |
| XXX | Form - PTO-1449; | | |
| XXX | Priority of U.S Provisional Application Serial No. 60/397,523 filed on July 19, 2002, is claimed under 35 U.S.C. §119(e); | | |
| | Preliminary Amendment. | | |

A Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i).

The Filing Fee is calculated below.

| CLAIMS AS FILED | | | | | | | |
|-----------------------------|---------------------|---------------------|-------------|------------------------------|--|--|--|
| (1) For | (2) Number Filed | (3) Number Extra | (4) Rate | (5) Basic Fee \$750.00 | | | |
| Total Claims | 21 - 20 = | 1 | x \$18.00 | \$18.00 | | | |
| Independent Claims | 5 - 3 = | 2 | x \$84.00 | \$168.00 | | | |
| Multiple Dependent Claim | Fee | | , | × \$280.00 = \$0.00 | | | |
| TOT | | \$936.00 | | | | | |
| 1/2 FILING FEE F | OR SMALL ENTITY | ′ | | \$468.00 | | | |

| No fee | enclosed - | filing b | y missing | parts. |
|--------|------------|----------|-----------|--------|
| | | | | |

A check in the amount of \$ 508.00 to cover the filing fee (\$468) and Assignment Recordation fee (\$40) is enclosed.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467.** A duplicate copy of this Form is enclosed.

Address all future communications to: Paul D. Greeley, Esq.

Ohlandt , Greeley, Ruggiero & Perle, L.L.P.

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July 15, 2003 Date of Signature

Paul D. Greeley, Esq. Attorney for Applicant

Registration No. 31,019

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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV132412725US**, service under 37 CFR §1.10 and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 15, 2003.

Alex Nulman
(Typed name of person mailing paper)

(Signature of person mailing paper)